Flexible Web Visualization for Alert-Based Network Security Analytics

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ABSTRACT

This paper describes a web-based visualization system designed for network security analysts at the U.S. Army Research Laboratory (ARL). Our goal is to provide visual support to the analysts as they investigate security alerts for malicious activity within their systems. Our ARL collaborators identified a number of important requirements for any candidate visualization system. These relate to the analyst's mental models and working environment, and to the visualization tool's configurability, accessibility, scalability, and "fit" with existing analysis strategies. To meet these requirements, we designed and implement a web-based tool that uses different types of charts as its core representation framework. A JavaScript charting library (RGraph) was extended to provide the interface flexibility and correlation capabilities needed to support analysts as they explore different hypotheses about a potential attack. We describe key elements of our design, explain how an analyst's intent is used to generate different visualizations, and show how the system's interface allows an analyst to rapidly produce a sequence of visualizations to explore specific details about a potential attack as they arise. We conclude with a discussion of plans to further improve the system, and to collect feedback from our ARL colleagues on its strengths and limitations in real-world analysis scenarios.

Categories and Subject Descriptors

[Security and Privacy]: Network security; [Human Centered Computing]: Visualization—visualization application domains, visualization systems and tools

General Terms

Design, Security

1. INTRODUCTION

This paper describes a collaboration between visualization researchers at North Carolina State University (NCSU) and

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network security analysts at the U.S. Army Research Laboratory (ARL). We are designing a prototype visualization system to improve an analyst's efficiency and effectiveness when exploring potential network security incidents.

Discussions with a wide range of security analysts led to an interesting conclusion. The analysts overwhelming agreed that, intuitively, visualizations should be very useful. In practice, however, they had rarely realized significant improvements by integrating visualizations into their workflow. A common comment was: "Researchers come to us and say, Here's a visualization tool, let's fit your problem to this tool. But what we need is a tool built to fit our problem." This is not unique to the security domain, but it suggests that security analysts may be more sensitive to deviations from their current analysis strategies, and therefore less receptive to general-purpose visualization tools and techniques.

This is not to say, however, that visualization researchers should simply provide what the security analysts ask for. Our analysts have high-level suggestions about how they would like to visualize their data, but they do not have the visualization experience or expertise to design and evaluate specific solutions to meet their needs. To address these, we initiated a collaboration between the two groups to build visualizations that: (1) meet the needs of the analysts, but also (2) harness the knowledge and best practices that exist in the visualization community.

Again, this approach is not unique, but it offers an opportunity to study its strengths and weaknesses in the context of our network security domain. In particular, we were curious to see which general techniques (if any) we could begin with, and how significantly these techniques needed to be modified before they would be used by our analysts. Seen this way, our approach does not focus explicitly on network security *data*, but rather on network security *analysts*. By supporting the analysts' needs, we are implicitly addressing a goal of effectively visualizing their data.

Based on our discussions with ARL personnel, we defined an initial set of requirements for a successful visualization tool. Interestingly, these do not inform explicit design decisions, for example, they do not define which data attributes we should visualize and how those attributes should be represented. Instead, they implicitly constrain a visualization's design through a high-level set of suggestions about what a real analyst is (and is not) likely to use. We summarized these comments into six general categories:

• Mental Models. A visualization must "fit" the mental models the analysts use to investigate problems. Analysts are unlikely to change how they attack a

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problem in order to use a visualization.

- Working Environment. The visualization must integrate into the analyst's current working environment. Our analysts use a web browser to view data stored in formats defined by their network monitoring tools.
- **Configurability.** Static, pre-defined presentations of the data are typically not useful. Analysts need to look at the data from different perspectives that are driven by the alerts they are currently investigating.
- Accessibility. The visualizations should be familiar to an analyst. Complex representations with a steep learning curve are unlikely to be used, except in very specific situations where a significant cost-benefit advantage can be found.
- Scalability. The visualizations must support query and retrieval from multiple data sources, each of which may contain very large numbers of records.
- Integration. Analysts will not replace their current problem-solving strategies with new visualization tools. Instead, the visualizations must augment these strategies with useful support.

The analysts' requirements meant that we could not follow our normal strategy of defining the analysts' data and tasks, designing a visualization to best represent this data, then modifying the design based on analyst feedback. Working environment, accessibility, and integration constraints, as well as comments from colleagues at ARL, suggested that a novel visualization with unfamiliar visual representations would not be appropriate. Since no existing tools satisfied all of the analysts' needs, we decided to design a framework of basic, familiar visualizations-charts-that runs in the analysts' web-based environment. We applied a series of modifications to this framework to meet each of the analysts' requirements. Viewed in isolation, each improvement often seemed moderate in scope. However, we felt, and our colleagues agreed, that the modifications were the difference between our system possibly being used by the analysts versus never being used. In the end, the modifications afforded a surprising level of expressiveness and flexibility, suggesting that some parts of our design could be useful outside the network security domain.

2. BACKGROUND

Visualization has been proposed as an important component of network security analytics [2, 13, 20]. It allows for interactive exploration and analysis of large amounts of data, and can help analysts detect unexpected patterns more efficiently and effectively than traditional, text-based representations.

Standard visualization techniques have been customized to support visual analysis of network security data. Node– link graphs [11, 14] are widely employed to display communications in a network. Picviz [16] uses parallel coordinates to present relationships between multiple data dimensions like IP, port, packet number, TTL, and time. Treemaps [20] have been used to visualize hierarchical structures in network data, for example, in the NFlowVis system proposed by Fischer et al. [3]. Scatterplots in PortVis and NVisionIP [8, 10] highlight correlations between data dimensions. Phan et al. proposed event plots and event timelines to represent patterns and aggregated values visualized in scatterplot-like charts with time on the x-axis and IP address on the y-axis [12]. Basic pie, bar and line charts [4, 15] are used to display aggregate results for a single data dimension. Researchers have also extend security visualization into three dimensions, for example, INetVis [17] uses animated 3D scatterplots to visualize destination IP, source IP, and protocol (TCP or UDP) for a network traffic stream. In practice, however, 3D views must deal with issues of interaction, item comparison and occlusion [9, 13].

Effective security analysis normally requires integration of various data sources, often starting from an overview of the data, with additional details provided on demand. Security visualization systems support different visualization techniques and multiple representations to allow analysis at different scales and from different perspectives. NVisonIP [8] provides visualizations at three levels of detail: galaxy, small multiple, and machine views. Similarly, VisFlowConnect [19] supports global, domain, internal, and host statistics views. Commercial security visualization systems such as VIAssist [5], Code DX [1] and NDVis [18] combine tools to support the analysis of different types of security data, and to integrate the analytic roles of the tools. They employ traditional visualization techniques like charts and node–link graphs with flexible zooming and data filtering.

Although these security visualization systems aim to support more flexible user interactivity and to correlate various data sources, many of them still force an analyst to choose from a fairly limited set of static representations. For example, Phan et al. uses charts, but with fixed attributes on the x and y-axes. General purpose visualization systems like Tableau or ArcSight offer charts similar to ours. These systems do not include visualization and human perception guidelines, however, so representing data effectively requires visualization expertise on the part of the analyst. Finally, many systems lack a scalable data management architecture [9]. This means an entire dataset must be loaded into memory prior to filtering and projection, increasing data transfer cost and limiting dataset size.

3. DESIGN

The configurability, accessibility, scalability, and integration requirements of our design demand flexible user interaction that combines and visualizes multiple large data sources. The working environment requirement further dictates that this happen within the analyst's current workflow. To achieve this, our system combines MySQL, PHP, HTML5, and JavaScript to produce a web-based network security visualization system that uses combinations of userconfigurable charts to analyze suspicious network activity.

3.1 Data Management

To provide improved scalability, data management occurs on a remote server running MySQL and PHP. This is a common approach to provide efficient data filtering and projection. Although a SQL server does not guarantee better performance or unlimited scalability, proper database and query construction do provide significant improvements over filebased data management, especially in web applications. Our SQL databases can support gigabytes of data. We maintain configurability in the visualizations by making no assumptions about the types of data in the database. The analyst

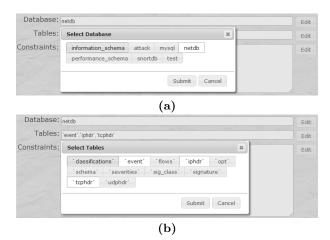


Figure 1: Database, table, and attribute selection

chooses the database tables and data attributes to visualize, which sets the necessary constraints for table correlation and data filtering (Fig. 1).

Our system loads only the data we need to render a given query's results. If the analyst later requests additional attributes, the system automatically generates queries to retrieve the new data. Another important accessibility requirement is that analysts do not need to construct SQL queries. Based on the tables, constraints and attributes involved in an analysis, and the use case of the visualization, the system will dynamically construct SQL queries to extract correlated information from the database.

3.2 Web-Based Visualization

Based on our integration requirement, we implement our visualizations as a web application using HTML5's canvas element. This works well, since it requires no external plugins and runs in any modern web browser.

We visualize network data using 2D charts. Basic charts are one of the most well known and widely used visualization techniques. This supports our accessibility requirement, because: (1) charts are common in other security visualization systems our analysts have seen, and (2) charts are an effective visualization method for presenting the values, trends, patterns, and relationships our analysts want to explore.

Numerous JavaScript-based libraries exist to visualize data as 2D charts, for example, HighCharts, Google Charts, Flot Charts, and RGraph. Unfortunately, these libraries are designed for general information visualization, so they do not support analysis at multiple levels of abstraction or correlation between multiple charts. To address this, we extended RGraph [7] to generate security visualizations with flexible user interaction, data retrieval via MySQL, and the ability to correlate between multiple charts.

RGraph cannot automatically choose chart types based on the data to visualize. This capability might be useful, since analysts do not want to manually select the chart type if this decision is obvious. On the other hand, the analysts do not want to be restricted to specific, pre-defined visualizations. To support these conflicting needs, we classify our charts based on the different use cases in Fig. 2: (1) pie and bar charts for proportion and frequency comparison over a single attribute, (2) bar charts for value comparison over a secondary attribute, (3) scatterplots for correlation between two attributes, and (4) Gantt charts for range value compar-

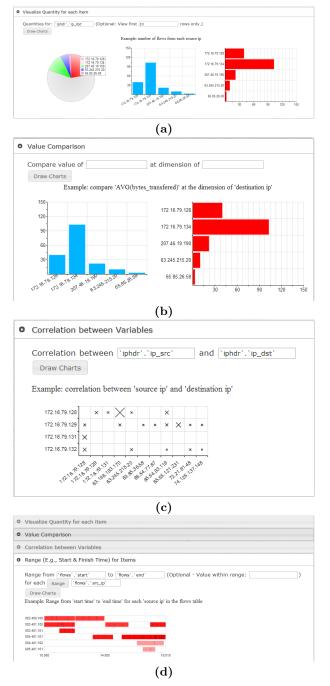


Figure 2: Charts classified by use case: (a) pie and bar chart, analysis of proportion; (b) bar chart, value comparison along one dimension; (c) scatterplot, correlation analysis; (d) Gantt chart, range in at least one dimension

isons. In our system a visualization is created based on analysis goals, and not on the specific data being visualized. The analyst is free to change this initial selection, and more importantly, to interactively manipulate which data attributes are mapped to the primary and secondary dimensions.

Once a request is finalized, the system: (1) generates SQL queries to extract the target data from the database, (2) initializes chart properties like background grids and glyph size, color, and type, and (3) visualizes the data.

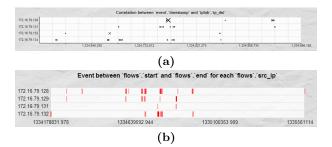


Figure 3: Scatterplot and Gantt chart: (a) connection counts over time by destination IP; (b) time ranges for flows by source IP

3.3 Analyst-Driven Charts

In a general information visualization tool, the viewer normally defines exactly the visualization they want. In our visualization system, we automatically choose an initial chart type based on: (1) existing knowledge on the strengths, limitations, and uses of different types of charts, and (2) the data the analyst asks to visualize. For example, if the analyst asks to see the distribution of a single data attribute, the system recommends a pie chart or bar chart. If the analyst asks to see the relationship across two data attributes, the system recommends a scatterplot or a Gantt chart.

The axes of the charts are initialized based on properties of the data attributes, for example, a categorical attribute on a bar chart's x-axis and an aggregated count on the yaxis. If two attributes like source and destination IP are selected, the attributes are mapped to a scatterplot's x and y-axes, with data points shown for flows between pairs of values (Fig. 2d). Or, if the attributes were event timestamp and destination IP, time would be assigned to the x-axis and destination IP to the y-axis of a scatterplot (Fig. 3a). Visualizing start and end times for flows across destination IP produces a Gantt chart with time on the x-axis, destination IP on the y-axis, and rectangular range glyphs representing different flows (Fig. 3b).

Data elements sharing the same x and y values are grouped together and displayed as a count. For example, in a scatterplot of traffic between source and destination IPs, the size of each tick mark indicates the number of connections between two addresses. In a Gantt chart, the opacity of each range bar indicates the number of flows that occurred over the time range for a particular destination IP.

More importantly, the analyst is free to change any of these initial choices. The system will interpret their modifications similar to the processing we perform for automatically chosen attributes. This allows the analyst to automatically start with the most appropriate chart type (pie, bar, scatterplot, or Gantt) based on their analysis task, the properties of the attributes they assign to a chart's axes, and on any secondary information they ask to visualize at each data point.

3.4 Interactive Visualization

To realize analyst-driven charts, we implemented a user interface with event handling on the canvas element and the jQueryUI JavaScript library for higher-level UI widgets and operations. Our design allows for full control over the data attributes to assign to a chart's axes. This capability turns out to be fairly expressive, and can be used by an analyst to generate an interesting range of charts and chart

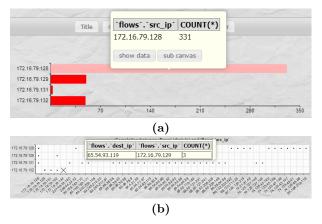
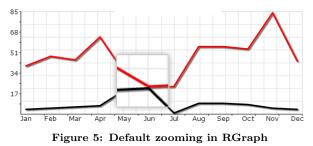


Figure 4: Tooltips: (a) for bar charts; (b) for scatterplots



types. Analysts can also attach additional data attributes to control the appearance of the glyphs representing data elements within a chart. For example, a glyph's color, size, and shape can all be used to visualize secondary attribute values.

3.4.1 Tooltips

Tooltips define data-drive notes attached to elements in a chart. For example, we commonly use tooltips to display the exact attribute values encoded in a chart element (Fig. 4), providing access to quantitative data on demand.

3.4.2 Zooming

RGraph's default zooming is not powerful enough to support level-of-detail visualization. RGraph zooms an area of a chart by simply increasing the size of a pre-selected region (Fig. 5). For an area in a chart with numerous data elements, this type of zooming does not provide any additional information to allow a deeper understanding of the sub-region of the chart.

To solve this, we rewrote RGraph's zooming function for scatterplots and Gantt charts. When an analyst selects an area in the original chart, we redraw the chart to include only the selected elements. For example, Fig. 6a shows a scatterplot created by an analyst, where the size of each tick mark encodes the number of flows for a corresponding source and destination IP (plotted on the chart's x and yaxes). Fig. 6b is the result of zooming in on the sub-region selected in Fig. 6a. In the original scatterplot the difference between the flow counts for the selected region cannot be easily distinguished. After zooming, the size of the tick marks are re-scaled for the currently visible elements, highlighting differences in the number of flows, particularly for destination IP 172.16.79.132 in the bottom row. The same type of zooming can be applied to Gantt charts (Fig. 6c, d). After zooming into a selected area, the flows that occlude

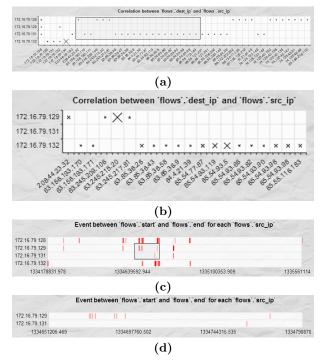


Figure 6: Chart zooming: (a) original scatterplot with zoom region selected; (b) zoom result; (c) original Gantt chart with zoom region selected; (d) zoom result

one another in the original chart are separated, helping the analyst differentiate timestamps.

3.4.3 Toolbars

Option toolbars at the top of each chart allow the analyst to customize glyph size, color, and shape, as well as other properties like title and size. Toolbars for scatterplots and Gantt charts also offer zooming, as well as the display or creation of correlated charts and spreadsheets of the raw data in the chart. Fig. 7 illustrates the use of a toolbar to change the shape of tick marks in a scatterplot.

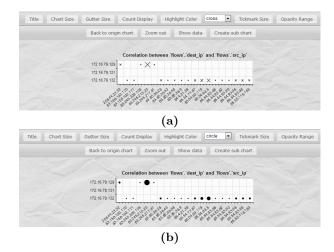
3.5 Correlation Over Multiple Views

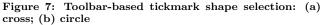
Our analysts normally conduct a sequence of investigations, pursuing new findings as they are uncovered by correlating multiple data sources and exploring the data at multiple levels of detail. This necessitates visualizations with multiple views and flexible user interaction. In our system, we correlate multiple data sources by generating correlated SQL queries and extending the RGraph library to support dependencies between different charts.

As an analyst examines a chart, they will form new hypothesis about the cause or effect of activity in the network. Correlated charts allow the analyst to immediate generate new visualizations from the current view to explore these hypotheses. In this way, the system allows an analyst to conduct a series of analysis steps, each one building on previous findings, with new visualizations being generated on demand to support the current investigations.

3.5.1 Correlated Charts

Similar to zooming, analysts can create correlated charts for regions of interest by selecting the region and requesting a sub-canvas. The system generates a constraint to extract





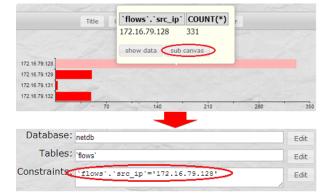


Figure 8: New constraints to create a correlated chart

| 'flows'.'id' | 'flows'.'start' | 'flows'end' | 'flows'.'src_ip' | 'flows'.'src_port' | 'flows'.'dest_ip' | 'flows'.'dest_port' | 'flows'.'attack_name' |
|----------------------|------------------------------|-------------------|------------------|--------------------|-------------------|------------------------------|--|
| 1041 | 1334263853.807602 | 1334263855.955125 | 172.16.79.129 | 1043 | 172.16.79.128 | 4444 | apache_modjk_overflow |
| 1044 | 1334586142.837951 | 1334586145.481 | 172.16.79.129 | 1055 | 172.16.79.128 | 4444 | badblue_passthru |
| 1047 | 1334586895.101921 | 1334586900.098163 | 172.16.79.129 | 1057 | 172.16.79.128 | 4444 | bcasa_bof |
| 1054 | 1334673377.990533 | 1334673380.342588 | 172.16.79.129 | 1034 | 172.16.79.128 | 4444 | easyfilesharing_pass |
| 1056 | 1334674082.048236 | 1334674083.929008 | 172.16.79.129 | 1031 | 172.16.79.128 | 4444 | energizer_duo_payload |
| 1059 | 1334675022.345155 | 1334675024.831684 | 172.16.79.129 | 1034 | 172.16.79.128 | 4444 | fdm_auth_header |
| 1061 | 1334676630.317111 | 1334676632.65987 | 172.16.79.129 | 1039 | 172.16.79.128 | 4444 | freeftpd_key_exchange |
| 1054 | 1334687177.9465 | 1334687180.091847 | 172.16.79.129 | 1041 | 172.16.79.128 | 4444 | freesshd_key_exchange |
| 1065 | 1334688414.047805 | 1334688416.120461 | 172.16.79.129 | 1047 | 172.16.79.128 | 4444 | futuresoft_transfermode |
| 1072 | 1334693117.828632 | 1334693120.252332 | 172.16.79.129 | 1089 | 172.16.79.128 | 4444 | icecast_header |
| 1110 | 1334772741.708672 | 1334772742.032835 | 172.16.79.129 | 1042 | 172.16.79.128 | 110 | poppeeper_uidl |
| 1429 | 1334861909.81976 | 1334861914.868698 | 172.16.79.129 | 1094 | 65.54.93.5 | 80 | zenturiprogramchecker_unsafe |
| 1430 | 1334861917.910169 | 1334851923.54232 | 172.16.79.129 | 1119 | 63.156.193.170 | 80 | zenturiprogramchecker_unsafe |
| 1431 | 1334861911.114329 | 1334861914.869605 | 172.16.79.129 | 1110 | 63.85.36.26 | 80 | zenturiprogramchecker_unsafe |
| 1432 | 1334861920.796835 | 1334861923.547237 | 172.16.79.129 | 1128 | 172.16.79.128 | 8080 | zenturiprogramchecker_unsafe |
| 1433 | 1334861911 142919 | 1334861914.869653 | 172.16.79.129 | 1111 | 63.85.36.43 | 80 | zenturiprogramchecker_unsafe |
| 1434 | 1334861909,477017 | 1334861914.868356 | 172.16.79.129 | 1089 | 65.55.84.56 | 80 | zenturiprogramchecker_unsafe |
| 1435 | 1334861918.433058 | | 172.16.79.129 | 1124 | 63.85.36.58 | 80 | ${\tt zenturiprogram checker_unsafe}$ |
| 1.114 | 111/061010 506014 | 11110001014000411 | 1101610100 | 1107 | 65.54.93.90 | 80 | $\verb zenturiprogramchecker_unsafe $ |
| | Quanti | ty for each 'flo | ows.src_ip | 1103 | 65.54.93.95 | 80 | $\verb zenturiprogramchecker_unsafe $ |
| | | | 1000 | 1091 | 65.54.93.119 | 80 | ${\tt zenturiprogram checker_unsafe}$ |
| `f | lows`.`src_ | ip` COUN | T(*) | 1095 | 65.54.93.5 | 80 | ${\tt zenturiprogram checker_unsafe}$ |
| | | | | 1113 | 207.46.19.190 | 80 | zenturiprogramchecker_unsafe |
| 1/. | 2.16.79.129 | 50 | 1 | 1105 | 64,4,21,39 | 80 | zenturiprogramchecker_unsafe |
| | | | | 1087 | 207.46.19.190 | 80 | zenturiprogramchecker_unsafe |
| show data sub canvas | | | 1098 | 63.156.193.171 | 80 | zenturlprogramchecker_unsafe | |
| - | _ | | | 1120 | 65.55.239.146 | 80 | zenturlprogramchecker_unsafe |
| | | | | 1099 | 65.55.239.146 | 80 | zenturlprogramchecker_unsafe |
| | | | | 1102 | 65.54.93.98 | 80 | zenturlprogramchecker_unsafe |
| | | | | 1114 | 65.55.206.209 | 80 | zenturiprogramchecker_unsafe |
| | | | | 1088 | 65.55.206.209 | 80 | zenturiprogramchecker_unsafe |
| | | | | 1104 | 65.54.93.66 | 80 | zenturiprogramchecker_unsafe |
| | | | | 1117 | 65.54.77.87 | 80 | zenturiprogramchecker_unsafe |
| | | | | 1123 | 63.85.36.9 | 80 | zenturiprogramchecker_unsafe |
| | | | | 1126 | 65.54.93.5 | 80 | zenturiprogramchecker_unsafe |
| | a series and a series of the | | | 1090 | 65 54 93 119 | 80 | zenturinmoramchecker unsafe |

Figure 9: Pie chart with a raw data spreadsheet

the data of interest in a separate window. The analyst can then select new attributes to include or new tables and constraints to add to the new chart (Fig. 8).

3.5.2 Raw Data Spreadsheets

Text-based examination is a conventional approach widely used in security analysis. In our system, we allow users to retrieve the raw data attached to a selected visual element or chart area. In pie or bar charts the system can automatically generate a SQL query to extract the corresponding rows from the database and display them as a spreadsheet in a new window (Fig. 9). In scatterplot or Gantt charts, a similar query can be performed to display raw data for selected regions in the chart.

4. TRAP DATA

An important requirement for testing our system is to apply it to real-world data. For security reasons, it is not possible to use data from ARL during testing. Because of this, we built a trap server to capture anonymized network flows from network switches housed on one floor of our Computer Science building.

The trap server collects network traffic by enabling the span ports on the network switches. Network data are sent to a separate switch dedicated to data collection. The collection switch transmits the data to a Snort sensor to perform: (1) intrusion detection using a Snort ruleset distributed by SourceFire and customized by our network security research group, and (2) extraction of network packets. Personal identification information is either anonymized or removed at this stage, with the original IP addresses and packet payloads being maintained (e.g., an IP address involved in an intrusion alert). This remaining information is further obfuscated in a consistent manner prior to being visualized.

The trap server stores two types of data representing netflow data and Snort alerts. Net flows contain source and destination IP, port, start and end time, TCP flags, and packet information. Alerts contain source and destination IP, port, time of the alert, and alert type. A flow and (possibly multiple) alerts are correlated when they share the same source and destination, and when the alerts occur between the flow's start and end time. This produces fairly large datasets with real-world traffic patterns. An example file for 24 hours of data contained approximately 17.4GB of packet headers with 938K unique source IPs and 168K unique destination IPs, representing 1.6M flows containing 615K alerts.

5. EXAMPLE ANALYSIS SESSION

To demonstrate our system, we obtained trap data being used by network security colleagues at NCSU to act as input for their automated intrusion detection algorithms. This provided us with a real-world dataset, and also offers the possibility of comparing results from an automated system to a human analyst's performance, both with and without visualization support. One of our NCSU colleagues served as the analyst in this example scenario. Visualization starts at an abstract level (distribution of alerts at different destination IP addresses), then follows the analyst's explorations of different hypotheses as he highlights and zooms into subregions of interest, creates correlated charts to drill down and analyze data at a more detailed level (visual analysis for alerts to a specific destination IP), and imports additional supporting data into the visualization (port and source IP). By including a new database flow table, the analysis of a subset of interest is extended to a larger set of data sources (analysis of flows related to interesting alerts). The visualization system supports the analyst by generating different types of charts on demand, based on the analyst's current interest and needs. The analyst can view the data both

visually and in raw text form to examine qualitative and quantitative aspects of the current region of interest. The tables used in this example include:

- event. Signature id and timestamp for each alert.
- **flows.** Network flow information, including source and destination IP, port, and start and end time.
- **iphdr.** Source and destination IP and other information related to packet headers.
- **tcphdr.** TCP related information such as source and destination port.

The analyst begins by selecting the database and the tables to use for the first visualization, as well as the constraints needed to correlate the tables and filter the rows to explore. Based on these tables and constraints, the analyst can choose the types of analysis he wants to pursue and the data attributes to visualize. The analyst initially chooses to visualize the number of alerts for each destination IP, selecting $ip_{-}dst$ from table iphdr as the "aggregate for" attribute. A SQL query is automatically generated to extract data for the chart:

```
SELECT iphdr.ip_dst, COUNT(*)
FROM event, iphdr, tcphdr
WHERE event.sid = iphdr.sid
AND event.cid = iphdr.cid
AND event.sid = tcphdr.sid
AND event.cid = tcphdr.cid
GROUP BY iphdr.ip_dst
ORDER BY COUNT(*) DESC
```

Choosing "Draw Charts" displays the aggregated results as pie and bar charts (Fig. 10). This supports visual analysis of the data from different perspectives. Pie charts highlight the relative number of alerts for different destination IPs, while bar charts facilitate a more effective comparison of the absolute number of alerts by destination IP. The charts are linked: highlighting at a bar in the bar chart will highlight the corresponding section in the pie chart, and vice-versa.

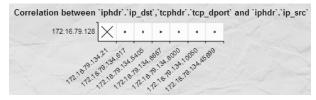
By default, we sort the aggregation results by the number of alerts for different destination IPs in reverse order, and allow the analysts to choose to visualize the first few rows. This is based on the assumption that analysts are more interested in addresses where a significant amount of traffic or number of alerts occurs. The analysts can reverse the sort order if they want to search for low-occurrence alerts.

The pie and bar charts indicate that the majority of the alerts (910) happen for destination IP 172.16.79.134. Choosing "Show Data" displays all 910 rows as a spreadsheet in a new window. To further analyze alerts associated with this destination IP, the analyst chooses "Sub Canvas" to open a new window with the initial query information (the database, tables, and constraints) predefined. The constraint *iphdr.ip_dst* = 172.16.79.134 is added to restrict the query for further analysis over this target destination IP. The analyst can continue to add new constraints or tables to the query as he requests visualizations to continue his analysis.

Next, the analyst chooses to visualize alerts from different source IPs attached to the target destination IP. He uses destination port to analyze the correlation between source and destination through the use of a scatterplot. Fig. 11a shows there is only one source IP with alerts related to the



Figure 10: Aggregated results visualized as a pie chart and horizontal and vertical bar charts



(a)

| `iphdr`.`ip_src` | `iphdr`.`ip_dst` | `tcphdr`.`tcp_dport` | COUNT(*) | |
|------------------|------------------|----------------------|----------|-------------|
| 172.16.79.128 | 172.16.79.134 | 21 | 894 | all columns |
| 172.16.79.128 | 172.16.79.134 | 617 | 3 | all columns |
| 172.16.79.128 | 172.16.79.134 | 5405 | 5 | all columns |
| 172.16.79.128 | 172.16.79.134 | 6667 | 2 | all columns |
| 172.16.79.128 | 172.16.79.134 | 8000 | 2 | all columns |
| 172.16.79.128 | 172.16.79.134 | 10050 | 2 | all columns |
| 172.16.79.128 | 172.16.79.134 | 45699 | 2 | all columns |
| | | | | |

(b)

Figure 11: Detail analysis: (a) scatterplot of relationships between source IP and destination port correlated to destination IP 172.16.79.134; (b) raw data spreadsheet

target destination IP, and that most alerts are sent to port 21, shown in the spreadsheet in Fig. 11b.

The analyst looks more closely at traffic related to the target destination IP on port 21 by visualizing netflows and their associated alerts in a Gantt chart. Collections of overlapping flows are drawn in red with endpoints at the flow set's start and end times. Alerts appear as black vertical bars overlaid on top of the flows at the time the alert was detected. Fig. 12a shows most of the flows are distributed over two time ranges. By zooming in on each flow separately

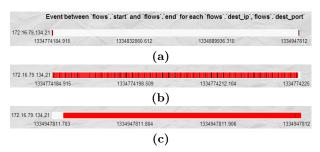


Figure 12: Gantt chart with alerts for network flows at the destination IP and port of interest; (a) two flows; (b) zoom on the left flow, showing numerous alerts; (c) zoom on the right flow, showing one alert

(Fig. 12b, c), the analyst realizes that the vast majority of the alerts occur in the left flow (Fig. 12b). The alerts in this flow are considered suspicious, and are flagged for more detailed investigation.

This example demonstrates how our system allows an analyst to follow a sequence of steps based on their own strategies and preferences to investigate alerts. Although it is possible to filter the raw data to "highlight" this result directly, our colleagues suggested it might be difficult to recognize that the majority of the alerts occur for a specific destination IP, source IP, port, and time range from a 910-line alert spreadsheet. The visualizations allow an analyst to follow this pattern step-by-step, uncovering more detailed information as they progress.

Our system attempts to be more accessible and more flexible than existing systems that use pre-selected data and predefined visualization techniques. Our web-based visualizations are recognized and understood by the analysts, allowing them to focus on the data they are most interested in at a given point in an investigation. They can easily request follow-on visualizations and modify them to pursue new hypotheses and investigate new findings as they are uncovered. We believe our system meets all of the analysts' original requirements, and forms a good starting point for a set of visualizations that will augment our analysts' capabilities.

6. FUTURE WORK

Future work focuses on three areas: (1) an analysis sandbox to augment an analyst's working memory, (2) identifying preferences for individual analysts, and (3) asking network security analysts to evaluate our visualizations.

Analysis Sandbox. Our analysts described how they examine a set of Snort alerts, normally over a short time window (e.g., five minutes), then choose any suspicious alerts for further examination. When this happens, they request data from additional data sources, analyze the data, and construct hypotheses about how the data might be related, and how those relationships either support or refute the likelihood of an attack on their systems. Currently, each analysis is conducted independently, forcing analysts to track and correlate information manually by remembering the data they've seen and the relationships the data form across the different sources. One very useful opportunity is the ability to build a sandbox-like environment, where the individual analyses can be performed, stored, reviewed, and compared. This could significantly improve an analyst's "working memory" capacity, allowing them to focus more on specific details of each analysis, and less on remembering the results or tracking them across independent applications to see how they relate to one another. Our system already includes the ability to query multiple data sources and visualize the data as a set of correlated charts. We anticipate combining this functionality into a sandbox-like environment with an appropriate user interface will be relatively straight-forward.

Analyst Preferences. Our analysts emphasized that although they may work on similar tasks, their approaches are often quite different (e.g., each analyst has their own customized scripts to extract and aggregate raw alert data). We anticipate these analyst-level preferences will extend to a visualization system. To support this, the system should, at a minimum, allow analysts to define individual preferences. A better solution would be to track an analyst's actions to better anticipate their strategies for specific types of tasks, for example, what types of data are they likely to request, how do they prefer that data to be visualized, and so on. We have previously used preference elicitation algorithms from artificial intelligence to track an analyst's interests within a visualization session [6]. We believe a similar approach can be used to determine analysts' preferences across multiple sessions. These preferences could have other important advantages, for example, to bootstrap junior analysts by providing them with a senior analyst's "preferred approaches" to investigating specific types of potential network attacks.

Real-World Integration. In order to properly evaluate our system, we need network analysts to provide feedback on its strengths and limitations. This is critically important in our domain, where analysts demand that a visualization tool "fit" their environment and analysis strategies. Unfortunately, it is also particularly difficult for us to complete this evaluation, since security restrictions do not allow us to speak directly with the analysts. Instead, we will coordinate with IT staff who support the analysts. These individuals are excellent collaborators, since they understand the analysts and how they work, and are themselves computer experts who know what a visualization system is reasonably capable of supporting. We will improve our system based on IT staff feedback, have them present it to the analysts, then use anonymized analyst comments to further enhance our visualizations.

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